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## **FEE TRANSMITTAL** for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27 TOTAL AMOUNT OF PAYMENT

| Complete if Known    |                 |   |  |  |
|----------------------|-----------------|---|--|--|
| Application Number   | 10/620,404      |   |  |  |
| Filing Date          | 07/16/2003      |   |  |  |
| First Named Inventor | James M. Ntambi |   |  |  |
| Examiner Name        |                 |   |  |  |
| Art Unit             | 1614            | - |  |  |
| Attorney Docket No.  | 960296,99128    |   |  |  |

| METHOD OF PAYMENT (check all that apply)  | FEE CALCULATION (continued)                            |               |              |             |  |             |
|---|--|---------------|--------------|-------------|--|-------------|
| Check Credit card Money Other None  | 3. ADDITIONAL FEES                                     |               |              |             |  |             |
| Deposit Account:  | Large Entity   Small Entity                            |               |              |             |  |             |
| Donocit   |  |               |              | Fee<br>(\$) | Fee Description  | Can Daid    |
| Account 17-0055   | 1051   | 130           | 2051         |             | Surcharge - late filing fee or oath  | Fee Paid    |
| Number<br>Deposit<br>Account<br>Quarles & Brady LLP   | 1052   | 50            | 2052         |             | Surcharge - late provisional filing fee or                                 |             |
| Account Name Quaries & Brady LLP  |  |               |              |             | cover sheet  |             |
| The Director is authorized to: (check all that apply)   | 1053   | 130<br>2,520  | 1053         |             | Non-English specification  For filing a request for ex parte reexamination |             |
| Charge fee(s) indicated below Credit any overpayments   | 1812 2<br>1804   | 2,520<br>920* | 1812<br>1804 |             | Requesting publication of SIR prior to                                     | ·           |
| Charge any additional fee(s) or any underpayment of fee(s)  | 1804   | 920           | 1004         | 920         | Examiner action  | <b>——</b>   |
| Charge fee(s) indicated below, except for the filing fee  | 1805 1   | 1,840*        | 1805         | 1,840*      | Requesting publication of SIR after  |             |
| to the above-identified deposit account.  | 1251   | 110           | 2251         | 55          | Examiner action  Extension for reply within first month                    |             |
| FEE CALCULATION   | 1252   | 430           | 2252         | 215         | Extension for reply within second month                                    |             |
| 1. BASIC FILING FEE   | 1253   | 980           | 2253         |             | Extension for reply within third month                                     |             |
| Large Entity Small Entity Fee Fee Fee Fee Description Fee Paid  | 1254 1   |               | 2254         |             | Extension for reply within fourth month                                    |             |
| Code (\$) Code (\$)   | 1255 2   |               | 2255         |             | Extension for reply within fifth month                                     |             |
| 1001 790 2001 395 Utility filing fee  |  |               |              | .,          |  |             |
| 1002 350 2002 175 Design filing fee   | 1401<br>1402   | 340<br>340    | 2401<br>2402 |             | Notice of Appeal   |             |
| 1003 550 2003 275 Plant filing fee  | 1402   | 00            | 2402         |             | Filing a brief in support of an appeal  Request for oral hearing           |             |
| 1004 790 2004 395 Reissue filing fee  | 1451 1   |               | 1451         |             | Petition to institute a public use proceeding                              |             |
| 1005 160 2005 80 Provisional filing fee   | 1451   | 110           | 2452         |             | Petition to revive - unavoidable   | <del></del> |
| SUBTOTAL (1) (\$) 0.00  | 1453 1   |               | 2453         |             |  |             |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE   | 1501 1   |               | 2501         |             | Petition to revive - unintentional Utility issue fee (or reissue)          |             |
| Fee from Extra Claims below Fee Paid  | 1502   | 4 0           | 2502         |             | Design issue fee   |             |
| Total Claims = X = 0.00   | 1503   | 60            | 2503         |             | Plant issue fee  |             |
| Independent - 3** = X = 0.00  | 1460   | 130           | 1460         | 130         | Petitions to the Commissioner  |             |
| Multiple Dependent =  | 1807   | 50            | 1807         | 7 50        | Processing fee under 37 CFR 1.17(q)  |             |
| Large Entity   Small Entity   | 1806   | 180           | 1806         |             | Submission of Information Disclosure Stmt                                  |             |
| Fee Fee Fee Fee Fee Description Code (\$)   | 8021   | 40            | 8021         | I 40        | Recording each patent assignment per property (times number of properties) |             |
| 1202 18 2202 9 Claims in excess of 20 1201 88 2201 44 Independent claims in excess of 3   | 1809   | 790           | 2809         | 395         | Filing a submission after final rejection                                  |             |
| 1203 300 2203 150 Multiple dependent claim, if not paid   | 1810   | 790           | 2810         | 395         | (37 CFR 1.129(a)) For each additional invention to be                      |             |
| 1204 88 2204 44 ** Reissue independent claims   | 465.   |               |              |             | examined (37 CFR 1.129(b))   |             |
| over original patent  | 1801   | 790           | 2801         | 395         |  |             |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent 1802 900 1802 900 Request for expedited examination of a design application |  |               |              |             |  |             |
| SUBTOTAL (2) (\$) 0.00  | Other fo   |               | • • •        |             |  |             |
| **or number previously paid if greater: For Reissues, see above   | educed by Basic Filing Fee Paid SUBTOTAL (3) (\$) 0.00 |               |              |             |  |             |

| SUBMITTED BY (Complete (if applicable)) |                   |                                   |        |           |              |
|---|-------------------|-----------------------------------|--------|-----------|--------------|
| Name (Print/Type)                       | Bennett J. Berson | Registration No. (Attorney/Agent) | 37,094 | Telephone | 608/251-5000 |
| Signature                               | Bluet &           |                                   |        | Date      | it /18/2004  |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. 387374

I have certify that this correspondence is being deposited with the United States Postal Service on the date set forth below as First Class Mail envelope addressed to: Commissioner for Patents, P O Box 1450, Alexandria, VA 22313-1450

Date of Signature and Deposit: Nov. 18 , 2004

Bennett J. Berson

**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: James M. Ntambi

Alan D. Attie Makoto Miyazaki

Serial No.: 10/620,404

Filed: 07/16/2003

1

Title: METHOD FOR INCREASING INSULIN

SENSITIVITY AND FOR TREATING AND PREVENTING TYPE 2 DIABETES

Date: Nov 18, 2004

Group Art Unit:

Examiner:

File No.: 960296.99128

## INFORMATION DISCLOSURE STATEMENT

Commissioner For Patents P O Box 1450 Alexandria, VA 22131-1450

Dear Sir:

Enclosed is a completed Form PTO-1449 listing additional documents that the applicants in the above-identified application wish to bring to the attention of the Examiner for consideration in connection with the examination on the merits of this application.

No fee is believed due in connection with this submission. However, if a fee is due, please charge the fee to Deposit Account No. 17-0055.

Respectfully submitted,

Bennett J. Berson

Reg. No.: 37,094

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| Substitute for form 1449/PTO        |                   |          |          | Complete if Known      |                 |  |  |
|-------------------------------------|-------------------|----------|----------|------------------------|-----------------|--|--|
| Substitute to its in 1445/140       |                   |          |          | Application Number     | 10/620,404      |  |  |
| INFO                                | DRMATION          | DIS      | CLOSURE  | Filing Date            | 07/16/2003      |  |  |
| STATEMENT BY APPLICANT              |                   |          | PPLICANT | First Named Inventor   | James M. Ntambi |  |  |
|                                     | (lico os mony cho | ote se n | ocoseand | Art Unit               | 1614            |  |  |
| · (Use as many sheets as necessary) |                   |          |          | Examiner Name          |                 |  |  |
| Sheet                               | 1                 | of       | 1        | Attorney Docket Number | 960296.99128    |  |  |

|                       |                          | OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS   |    |
|-----------------------|--------------------------|---|----|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T² |
|                       |                          | Enser, M., "Desaturation of Stearic Acid by Liver and Adipose Tissue from Obese-Hyperglycaemic Mice (ob/ob)," Biochem. J. 148:551-555 (1975)  |    |
|                       |                          | Jones, B.H., et al., "Adipose tissue stearoyl-CoA desaturase mRNA is increased by obesity and decreased," Am. J. Physiol. Edocrinol. Metab. 271:E44-E49 (1996)  |    |
|                       |                          | Singh, M.V., et al., "Nuclear factor 1 is essential for the expression of stearoyl-CoA desaturase 1 gene," Biochim. Biophys. Acta. 1398:148-156 (1998)  |    |
|                       |                          | Storlien, L.H., et al, "Influence of Dietary Fat Compositions on Development of Insulin 'Resistance in Rats. Relationship to Muscle Triglyceride," Diabetes 40:280-289 (1991)   |    |
|                       |                          | Waters, K.M., et al., "Polyunsaturated Fatty Acids Inhibit Hepatic Stearoyl-CoA Desaturase-1 Gene in Diabetic Mice," Lipids 31:S33-S36 (1996)   |    |
|                       |                          |   |    |
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|                       |                          |   |    |
|                       |                          |   |    |

| Examiner  | Date       |  |
|-----------|------------|--|
| Signature | Considered |  |

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.